

PLEDGE REQUEST FORM (PRF)

☐ Setup of Pledge ☐ Confirmation of Pledge ☐ Rejection of Pledge[illegible]

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge for** the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details																			
DP ID											Client ID								
Pledgor's Name	1. 2. 3.																		

Pledgee's Details																			
DP ID										Client ID									
Pledgee's Name	1. 2. 3.																		

Details of Securities: ☐ Free Securities ☐ Locked-in Securities

Details of Securities offered for Pledge.

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral -Debt I issuance by Co./Grp Co. ☐	Collateral for loan by Company/Gro up C ☐	Collateral for loan by the Third Party ☐	Margin Pledge/MTF for Exchange Trade ☐	Personal use by promoters and PACs ☐			
PAN of the Ultimate Lender :								

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.

To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

Signature of Pledgee(s) *

First Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

Depository Participant Seal and Signature

☐ Unpledge by Pledgor ☐ Unpledge by pledgee ☐ Unpledge Rejection

Please fill all the details in **Block Letters** in English

URF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to set up an **Unpledge** request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such unpledge requests.

Pledgor's Details																			
DP ID										Client ID									
Pledgor's Name	1.																		
	2.																		
	3.																		

Pledgee's Details																			
DP ID											Client ID								
Pledgee's Name	1.																		
	2.																		
	3.																		

Date of Unpledging	D	D	M	M	Y	Y	Y	Y
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Sr. No	PSN	ISIN	Name of the Security	Total Quantity pledged	Quantity to be unpledged	Accepted / rejected by Pledgee

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

The unpledge request is being set up for the reasons mentioned hereunder: -

Unpledge Rejection Details	Unpledge Rejection by Pledgor DP	Unpledge Rejection by Pledgee DP
Date of Rejection of Unpledge		
Unpledge Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.

If you have selected 'Others', then please specify the exact reason for rejection		
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I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgee BO

Signature of the Pledgee		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

Depository Participant seal and signature

Invocation Request Form (IRF)

Depository Participant Name/Address

Please fill all the details in **Block Letters** in English. To be filled by the pledgee.

IRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to set up a Invocation request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to Invocation of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Invocation.

Pledgor's Details											
DP ID										Client ID	
Pledgor's Name	1.										
	2.										
	3.										

Pledgee's Details											
DP ID										Client ID	
Pledgee's Name	1.										
	2.										
	3.										

Date of Invocation	D	D	M	M	Y	Y	Y	Y
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Details of Securities to be invoked					
Sr. No	PSN	ISIN	Name of the Security	Total Quantity Pledged	Quantity to be invoked

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The invocation request is being set up for the reasons mentioned hereunder:-

Signature of the Pledgee

Sole / First Applicant	Second Applicant	Third Applicant

Depository Participant Seal and Signature