

Office Address: MintCap Brokers Private Limited, Plot No: 1290, 2nd Floor, 17th Cross,

5<sup>th</sup> Main, Sector-7, HSR Layout, Bangalore, Karnataka – 560 102| **Tel:** +080 49552310 |

Email: <a href="mailto:support@capmint.com">support@capmint.com</a> | Website: www.capmint.com

## **PLEDGE REQUEST FORM (PRF)**

		□ S	etup of I	Pledge	<b>-</b>	Conf	irmati	on of P	ledge [	⊒ Reje	ction of	f Pledg	е			
					_		ry Par	ticipan	t Name	e /Addr	ess					
Please fi	ill all the deta	ails in	Block Le	tters i	in Eng	glish										
PRF I	No.								Date		D D	)	M	Υ	Υ	Y
read and I/W time for	quest you to d understood le agree to a such pledge or's Details	d the labide I	Depositor	ies Act	, SEB	I Reg	ulations	and th	e Bye I	_aws ii	n relatio	n to ple	edge of	secur	ities	
DP ID	or s Details		1 1					Clien	- ID		1		_	1		
Pledgor's	s Name	1. 2. 3.						Cileir	, ID			<u> </u>		<u> </u>		
Pledge	e's Details															
DP ID								Clien	: ID							
Pledgee's	s Name	1. 2. 3.														
Details	of Securiti	ies:	□ Fr	ree Se	curit	ies 🗆	Locke	d-in Se	curitie	es						
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Details	s or securit	ies ot	rerea tor	· Pieaa	ie.											
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		ies or	Com	pany	je.	Qua	ntity	Rele	ase	(Sy	stem	Re	jected	by		
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Sr. no	ISIN In annexure	duly s	Com Na igned by t	ipany ime	count	holder	r(s), if t	Rele (lock	ease c-in)	(Sy Gene	stem erated)	Re	jected	by e	val	ue
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from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable ]	□ 01 Units not available for Amount requested. □ 02 Closure Date not accepted. □ 03 Pledged Quantity not accepted. □ 04 ISIN not accepted. □ 05 Security details not acceptable. □ 06 ISIN delisted from Trading. □ 07 POA not received from all holders. □ 08 Holders not acceptable to the pledgee. □ 09 Agreement no. differs from that on the agreement. □ 10 Others. □ 11 Transfer Quantity not accepted. □ 12 Violation of terms of agreement. □ 13 Closure Quantity not accepted. □ 14 Pledged amount/margin not paid. □ 15 Market value of the pledged ISIN is insufficient.	□ 01 Units not available for Amount requested. □ 02 Closure Date not accepted. □ 03 Pledged Quantity not accepted. □ 04 ISIN not accepted. □ 05 Security details not acceptable. □ 06 ISIN delisted from Trading. □ 07 POA not received from all holders. □ 08 Holders not acceptable to the pledgee. □ 09 Agreement no. differs from that on the agreement. □ 10 Others. □ 11 Transfer Quantity not accepted. □ 12 Violation of terms of agreement. □ 13 Closure Quantity not accepted. □ 14 Pledged amount/margin not paid. □ 15 Market value of the pledged ISIN is insufficient.

#### To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

## To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

#### Signature of Pledgee(s) \*

First Holder	Second Holder	Third Holder

<sup>\*</sup> If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

**Depository Participant Seal and Signature** 



Brokers PVT ITD

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## **Unpledge Request Form (URF)**

		Unpled	ge by Ple	edgor	□ Un	pledge	by pledg	ee 🗆 Unple	edge Rejection	
		ipant Nan								
Please fill al	i the deta	iis in Bioc	k Letter	s in Eng	IIISN					
URF No.								Date [	D M M Y	YYY
Depositories	s Act, SEE	I Regulati	ons and	the Bye	Laws in	relation	to unpled	ge of securit	ve read and understoties and I / We agree to time for such un	to abide
Pledgor's	Details									
DP ID							Client ID			
Pledgor's N	lame	1.								
		2.								
		3.								
Pledgee's	Details									
DP ID	Jetans						Client ID			
Pledgee's N	Name	1.			•				<del> </del>	
		2.								
		3.								
	L									<u>'</u>
Date of Ur	npledging	D	D M	М Ү	YY	Υ				
Sr. No	PSN	IS	SIN		ame of t Security			Quantity edged	Quantity to be unpledged	Accepted / rejected by Pledgee
Attach an ar	nnexure c	lulv sianed	l by the a	ccount l	holder(s)	), if the	space abov	ve is insuffici	ent.	
The un	pledge r	equest is	being s	et up fo	or the re	easons	mentione	ed hereund	er: -	
Unpledge	e Uni	pledge R	eiection	bv Plec	lgor DP	1		Unpledge	Rejection by Pledo	iee DP
Rejection			-	•	_					
Details										
Date Rejection of										
Unpledge Unpledge		1 Units no	t availabl	e for Am	nount roo	n iected		□ 01 Unite	not available for Amo	unt requested
Reason		2 Closure				<sub>1</sub> ucsicu.			ire Date not accepted.	•
[tick ma	rk 🗆 0	3 Pledged	Quantity	not acce				□ 03 Pledg	ed Quantity not accep	
whicheve is	-	4 ISIN not 5 Security	•		table				not accepted. rity details not accepta	able
applicabl		6 ISIN del							delisted from Trading.	
1		7 POA not							not received from all h	
		8 Holders 9 Agreeme					eement		ers not acceptable to t ement no. differs from	
		Others.	ant HO. UII	1013 11011	ii uidt Oli	i aic agi	CCITICIT.	□ 10 Other		and on the agreemen
1		1 Transfer							sfer Quantity not accep	
		2 Violatior 3 Closure							tion of terms of agreer are Quantity not accep	
	□ 1·	4 Pledged	amount/i	margin r	not paid.			□ 14 Pledg	jed amount/margin no	ot paid.
	1	5 Market v	alue of th	nhaln ar	MIZI bar	ic incuff	iciont	□ 15 Mark	et value of the pledge	d ISIN is insufficient

have selected 'Others', then please specify the exact reason for rejection		
/ We declare that the above particulars	s given by me/ us above are true to the best o	f my/ our knowledge.
To be filled and signed in case or	f Set-up/Rejection of Unpledge by Pledg	ee BO
Signature of the Pledgee		
First/ Sole Applicant	Second Applicant	Third Applicant
First/ Sole Applicant	Second Applicant	Third Applicant
First/ Sole Applicant	Second Applicant	Third Applicant
	Second Applicant  f Set-up/Rejection of Unpledge by Pledg	
To be filled and signed in case o		
To be filled and signed in case o	f Set-up/Rejection of Unpledge by Pledg	or BO
To be filled and signed in case o	f Set-up/Rejection of Unpledge by Pledg	or BO

**Depository Participant seal and signature** 



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# **Invocation Request Form (IRF)**

									icipant Na			SS						
Pleas	e fill all the d	etails in	Blo	ck Le	etters	in Eng	glish. T	o be fil	led by the p	ledgee	2.							
IRF	No.								Date	Э		D [	) [/	M	Υ	Υ	Υ	Υ
Depos	request you sitories Act, S d be bound b	EBI Reg	gulati	ons a	nd the	Bye L	.aws ir	relation	on to Invoca	tion of	secu	ities	and I	/ We	agree	e to al	oide	
DP ID	gor's Details	<u> </u>						1	Client ID				I			1	1	_
	or's Name	1.							CHEFTE									
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	of Invocation  ils of Securi	ities to	be i	nvok		D M	M	Υ	YYY									
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**Depository Participant Seal and Signature**